

Borders NHS Board



Meeting Date: 5 March 2020

Approved by:	Malcolm Dickson, Chair Public Governance Committee
Author:	Carol Gillie, Director of Finance
AUDIT COMMITTEE UPDATE	
Purpose of Report:	
<p>The purpose of this report is to brief the Board on any key issues within the Committee's remit, in order to provide assurance to the Board that these matters have been identified and are being addressed.</p>	
Recommendations:	
<p>The Board is asked to note the update from the Audit Committee which met on 12th December 2019.</p>	
Approval Pathways:	
<p>This report has been prepared for the Board.</p>	
Executive Summary:	
<p>The Audit Committee would like to highlight the following to the Board:</p> <ul style="list-style-type: none"> • Audit Scotland gave a presentation providing an overview on the NHS in Scotland 2019 report. An invite was extended to any members of the Audit Committee to meet with Audit Scotland to discuss the scope for the NHS in Scotland 2020 report and this is being arranged. • Grant Thornton, Internal Auditors, presented the audit follow up report. This included a review of all outstanding recommendations with a view to having an agreed baseline for going forward. It had been possible to reduce the number of outstanding recommendations by agreeing with management which were no longer relevant and those where sufficient work had been undertaken to meet the intention of the recommendation, and Committee members approved this action. Members also agreed that, in future, managers who do not meet the implementation dates of recommendations will be asked to attend the Audit Committee. • The Committee received the Internal Audit progress report and the following final reports: <ul style="list-style-type: none"> - GDPR and Information Governance - Public Involvement & Engagement - Review of Board Supporting Committees' Governance 	

There was good discussion on each of the reports and Tris Taylor, Chair, Public Governance Committee, was in attendance for the discussion on the Public Involvement & Engagement report.

One high risk finding was highlighted within the Review of Board Supporting Committees' Governance, namely that NHS Borders should make clear which Committee has responsibility for risk management.

- The External Auditors, Audit Scotland, presented their Annual Audit Plan for 2019/20 which contained an overview of the planned scope and timing for the annual audit which is carried out in accordance with International Standards on Auditing.
- The Committee received the Annual Risk Management report which would be the final year it would be received in that format due to the recent redesign process following the retirement of the Health, Risk & Safety Manager. The report is available on request.

Impact of item/issues on:	
Strategic Context	Not applicable
Patient Safety/Clinical Impact	Not applicable
Staffing/Workforce	Not applicable
Finance/Resources	Not applicable
Risk Implications	Identified above
Equality and Diversity	Not applicable
Consultation	Not applicable
Glossary	Not applicable